

## THE UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER (HSC)

is committed to complying with U.S. export control laws and regulations. The purpose of this pamphlet is to provide faculty, staff, postdoctoral fellows, student employees, visiting scientists, and all other persons working at or for HSC, with an overview of the relevant laws and regulations related to exporting goods and technology while conducting HSC-related business. Failure to comply with export control laws can result in severe penalties for individuals and the institution. This guide provides information on HSC's export control policy and how to comply with export control regulations.

### WHAT ARE EXPORT CONTROL REGULATIONS?

Export control regulations are U.S. government laws that regulate the export of goods, technology, and software. These regulations are in place to protect national security, prevent terrorism, and ensure that sensitive technology and information are not transferred to unauthorized individuals or entities.

### WHAT IS AN EXPORT?

An export is the transfer of any item, technology, or software from the United States to a foreign country, including:

- Taking items out of the country, even temporarily. For example, when traveling internationally for any HSC related activities (such as to attend conferences, meetings, etc.) with electronic devices such as laptops, cellphones, or any other similar devices, it is important to be aware that these items and the underlying technology, data, proprietary information, confidential records, and encryption software are subject to export control regulations.
- Providing technical assistance to foreign nationals.
- Shipping or mailing items to a foreign country.



## NAVIGATING EXPORT CONTROLS AT THE UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER

Ensuring Compliance with Federal Regulations



## EXCLUSIONS

- Many HSC research activities are excluded from export controls because of the fundamental research exclusion under the Export Administration Regulations (EAR) and the International Traffic in Arms Regulations (ITAR).
- Fundamental research is defined as basic and applied research in science and engineering conducted at an institution of higher learning in the United States where the resulting information is ordinarily published and shared broadly within the scientific community.
- Researchers should always strive to publish their findings to the fullest extent possible and avoid agreeing to confidentiality clauses that restrict the dissemination of research materials and results.



## TRAVEL CONSIDERATIONS

- When traveling abroad, HSC travelers must complete the International Device Checklist Form to determine whether items being taken out of the country are "licensed controlled" as determined by the Export Administration Regulations (EAR) and International Traffic in Arms Regulations (ITAR).
- The Office of Foreign Assets Control (OFAC) of the U.S. Department of the Treasury also may prohibit travel to embargoed countries, even when exclusions to EAR and ITAR apply.
- Non-compliance with federal laws and regulations, such as the EAR and the ITAR, may result in criminal or civil penalties, loss of export privileges, and negative publicity.



OFFICE OF RESEARCH  
COMPLIANCE

**For more information on export controls, please visit the HSC Office of Research Compliance's International Compliance – Export Controls website**

For more information on Export Control Laws and Regulations:

1. U.S. Department of State, Directorate of Defense Trade Controls (DDTC): "[Overview of the International Traffic in Arms Regulations \(ITAR\)](#)"
2. U.S. Department of Commerce, Bureau of Industry and Security (BIS): "[Overview of the Export Administration Regulations \(EAR\)](#)"
3. U.S. Department of the Treasury, Office of Foreign Assets Control (OFAC): "[Sanctions Programs and Country Information](#)"
4. U.S. Nuclear Regulatory Commission (NRC): "[Export Import Requirements](#)"
5. National Science Foundation (NSF): "[Export Controls](#)"