**Allegation Report:**
- Ethics Hotline
- In Person
- Division Sent to CCO
- Other Sources

**Reviewed by:** Compliance Office & Directed to Appropriate Division, CCO, or VP of Student Affairs within 24 Hours

- **Fraud Response Team**

- **Evidence of Fraud**

- **Division/CCO/VP of Student Affairs** investigates:
  - Violation of Law, Regulation, or UNTHSC Policy

  - **Yes**
    - **Policy**
      - Division/CCO/VP of Student Affairs remediate
      - Consult OGC & CCO (as necessary)

    - **Criminal**
      - Consult OGC and HSC Police for Investigation
      - Division/CCO/VP of Student Affairs remediate
      - Consult OGC & CCO (as necessary)

    - **Civil**
      - Division/CCO/VP of Student Affairs remediate
      - Consult OGC & CCO (as necessary)

  - **No**
    - **Yes**
      - Report Summary and Recommended Action Submitted to CCO

    - **No**
      - Audit to Investigate (OGC as needed)

- **Yes**
  - **Financial Fraud**

- **No**
  - **No Financial Fraud**

**Report Summary and Recommended Action Submitted to CCO**

**Appropriate disciplinary and/or legal actions taken according to UNTHSC policies.**

*Complainant is notified upon resolution of all investigation, and/or sooner at the discretion of the division head in consultation with the Chief Compliance Officer.*

**Please see UNTHSC Policy 03.106 “Reporting Suspected Wrongdoing”.*

***The Fraud Response Team is comprised of representative(s) from: the Institutional Compliance Office, Internal Audit and the Office of General Counsel.***

OGC: Office of General Counsel
CCO: Chief Compliance Officer