

The University of North Texas Health Science Center
Investment Related Questions as Required by the SAO
As of August 31, 2013

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? **YES**

UNT Health Science Center/TCOM Foundation, Inc.
3500 Camp Bowie Blvd.
Fort Worth, Texas 76107-2699

Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval? **YES**

2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? **NO** If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of such arrangements.
3. Is the institution associated with an independent endowment or foundation? **YES** If the answer to this question is yes, the institution must provide contact information (name and address) for the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.

- Tim Doke
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3500 Camp Bowie Blvd.
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- The Market Value of the Foundation's Investments at 08/31/13 is \$9,211,295.79.